PTO/SB/21 (08-00)-Pleass type a plus sign (+) inside this box -Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 2001 nder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/819,941 TRANSMITTAL Filing Date March 27, 2001 **FORM** First Named Inventor Stacey, Fred, et al. (to be used for all correspondence after initial filing) Group Art Unit 2183 **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number 020510-10.00 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer 1) Power of Attorney or Authorization of Express Abandonment Request Request for Refund Statement Under 37 CFR 3.73(b); Information Disclosure Statement Copies of Patent Assignments in chain CD, Number of CD(s) of title, and Return Receipt Postcard The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Charles Hamilton Reg No. 42,624 Individual name

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

September 7, 2001

Typed or printed name | Djane E. Hawley

September 7, 2001

Signature

Signature '

Date

): 298/10

Date

September 7, 2001

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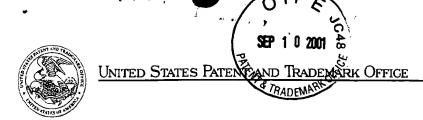
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FEE TRANSMITTA	Application Number	09/819,941				
<b>for FY 2001</b>	Filing Date	March 27, 2001				
SEP 1 0 2001 &	First Named Inventor	Stacey, Fred, et al.				
Patent fees are subject to annual revision.	Examiner Name	Unassigned				
Et	Group Art Unit	2183				
TOTAL AMOUNT OF PAYMENT (\$) 615	Attorney Docket No.	020510-10.00US				

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The Commissioner is hereby authorized to charge					3. ADD	ITIONAL	FEES		,			
1.		indic	cated fee	s and credit any c	ver payments to	0:	Large	Entity	Small	Entity		
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	Account Name	Tow	msenu ar	nd Townsend and	Crew LLP		147	2,520	147	2,520	For filing a request for reexamination	
	□ Charge						112	920*	112	920*	Requesting publication of SIR prior to	
		7 CFR 1.1					113	1,840*	442	1 040*	Examiner action	
	See 37	CFR 1.27	7 .	ny status.			''3	1,040"	113	1,840*	Requesting publication of SIR after Examiner action	
2.	☐ Payr	nent Enc	losed:				115	110	215	55	Extension for reply within first month	
	☐ Check	□ c	redit card	d ☐ Money Order	☐ Other		116	390	216	195	Extension for reply within second month	195
			EEE C				117	890	217	445	Extension for reply within third month	
1.	BASIC	FILING F		CALCULATION			118	1,390	218	695	Extension for reply within fourth month	
Lar		Small	Entity				128	1,890	228	945	Extension for reply within fifth month	
Fee		Fee	Fee	Fee Description			119	310	219	155	Notice of Appeal	
Cod	• • •	Code	(\$)			Paid	120	310	220	155	Filing a brief in support of an appeal	
101		201	355	Utility filing fee	355	5	121	270	221	135	Request for oral hearing	
106 107		206 207	160 245	Design filing fee Plant filing fee	-		138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355	Reissue filing fe	∍		140	110	240	55	Petition to revive - unavoidable	
114	150	214	75	Provisional filing	fee		141	1,240	241	620	Petition to revive – unintentional	
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		S	UBTOTA	AL (1)	(\$)3	355	143	440	243	220	Design issue fee	
2.	EXTRA CLA	IM FEES	3				144	600	244	300	Plant issue fee	
				Extra Fe	e from F	Fee	122	130	122	130	Petitions to the Commissioner	
Total	Claims [	-20	)** = [			Paid \$0	123	50	123	50	Petitions related to provisional applications	
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Lar <sub>t</sub> Fee		•	l Enti Fee				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Coc 103	,	Code 203		Fee Descript Claims in exc			149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102		202	40		claims in excess	s of 3						$\vdash \vdash \vdash \vdash$
104	270	204	135	•	ndent claim, if r		179	710	279	355	Request for Continued Examination (RCE)	
109	80	209	40		dependent clain		169	900	169	900	Request for expedited examination of a design application	
original patent  110 18 210 9 ** Reissue claims in excess of 20 and over original patent			Other fee (specify)									
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**o	r number prev	iously paid	l, if greate	r; For Reissues, se	e above			•			(\$)200	

SUBMITTED BY		Co	Complete (if applicable)			
Name (Print/Type)	Charles Hamilton	Registration No. (Attorney/Agent)	42,624	Telephone	650-326-2400	
Signature	Chart!	Date	September 7, 2001			

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/819.941

03/27/2001

Fred Stacey

20510-10.00

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834 CONFIRMATION NO. 2962
FORMALITIES LETTER
\*OC000000006122566\*

Date Mailed: 05/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/12/2001 HTECKLU1 00000022 201430 09819941

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10/23/2001 SSALEEKU 00000006 201430 09819941

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